

08/17/00

JCS35

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PTO

UTILITY
PATENT APPLICATION
TRANSMITTAL

(Only for nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No.

AVI 007

First Inventor or Application Identifier

Michael Chen

Title

Scanner with an output port connected to a printer or a storage device.

Express Mail Label No.

APPLICATION ELEMENTS

See MPEP Chapter 200 concerning utility patent application contents.

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ * Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☒ Specification (Total Pages (preferred arrangement set forth below)
- Descriptive title of the invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Microfiche Appendix
- Background of the invention
- Brief Summary of the invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure
3. ☒ Drawing(s) (35 U.S.C. 113) (Total Sheets

4. Oath or Declaration (Total Pages a. ☒ Newly executed (original or copy)
b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d))
(for continuation/divisional with Box 17 checked)
(Note Box 5 below)
i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

5. ☐ Incorporation By Reference (Use only if Box 4b is checked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

6. ☐ Microfiche Computer Program (Appendix)
7. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
a. ☐ Computer Readable Copy
b. ☐ Paper Copy (identical to computer copy)
c. ☐ Statement verifying identity of above codes

ACCOMPANYING APPLICATION PARTS

8. ☒ Assignment Papers (cover sheet & document(s))
9. ☐ 37 C.F.R. § 3.73(b) Statement (when there is an assignee) ☒ Power of Attorney
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure Statement (IDS) (PTO-1449) ☐ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☐ Return Receipt Postcard (MPEP 203) (Should be specifically itemized)
14. ☐ * Small Entity Statement(s) ☐ Statement filed in prior application (PTO/SB/09-12) ☐ Status still proper and desired
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Other:

* NOTE FOR ITEMS 1 & 14: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.29).

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary statement:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CA)

of prior application No. _____

Prior application information Examiner _____

Group / Art Unit: _____

18. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

or ☒ Correspondence address below

Name	Winston Hsu			
Address	3F, No. 52, Lane 46, Ming-Sheng Road, Yung-Ho City, Taipei Hsien, Taiwan, R.O.C.			
City	State	Zip Code	234	
Country	Taiwan, R.O.C.	Telephone	886-2-2948-3200	Fax 886-2-2948-6200

Name (Print/Type)	Winston Hsu	Registration No. (Attorney/Agent)	41,526
Signature	Winston Hsu	Date	8/15/2000

Duration Statement: This form is estimated to take 40 hours to complete. Time will vary according upon the needs of the individual case. Any continuations in the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

JCS02 U.S. PTO

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FEE TRANSMITTAL

Patent fees are subject to annual revision on October 1.

These are the fees effective October 1, 1997.

Small Entity payments must be supported by a small entity statement,
otherwise large entity fees must be paid. See Forms PTO/SB/09-12
See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT

(\$)

730

Complete if Known

Application Number

Filing Date

First Named Inventor

Michael Chen

Examiner Name

Group / Art Unit

Attorney Docket No.

AVI 007

METHOD OF PAYMENT (check one)

- 1.
- ☐
- The Commissioner is hereby authorized to charge
-
- indicated fees and credit any over payments to:

Deposit
Account
Number
Deposit
Account
Name

50-0801

North America International Patent Office

- ☒
- Charge Any Additional
-
- Fee Required Under
-
- 37 C.F.R. §§ 1.16 and 1.17

- ☐
- Charge the Issue Fee Set in
-
- 37 C.F.R. § 1.18 at the Mailing
-
- of the Notice of Allowance

- 2.
- ☒
- Payment Enclosed:

☐ Check☒ Money
Order☐ Other**FEE CALCULATION****1. BASIC FILING FEE**Large Entity Small Entity
Fee Fee Fee Fee
Code (\$) Code (\$)

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
101 730	201 355	Utility filing fee	690
106 330	206 165	Design filing fee	
107 540	207 270	Plant filing fee	
108 790	208 365	Reissue filing fee	
114 150	214 75	Provisional filing fee	

SUBTOTAL (1)

(\$)

690

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
8	0	0	0
2	0	0	0
Multiple Dependent			

For number previously paid, if greater. For Reissues, see below.

Large Entity Small Entity
Fee Fee Fee Fee
Code (\$) Code (\$)

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
103 22	203 11	Claims in excess of 20	
102 82	202 41	Independent claims in excess of 3	
104 270	204 135	Multiple dependent claim, if not paid	
109 82	209 41	** Reissue independent claims over original patent	
110 22	210 11	** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2)

(\$)

0

FEE CALCULATION (continued)**3. ADDITIONAL FEES**Large Entity Small Entity
Fee Fee Fee Fee
Code (\$) Code (\$)

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
105 130	205 55	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	239 130	Non-English specification	
147 2,520	247 2,520	For filing a request for reexamination	
112 920*	212 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	213 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 400	216 200	Extension for reply within second month	
117 360	217 475	Extension for reply within third month	
118 1,510	218 755	Extension for reply within fourth month	
128 2,030	228 1,030	Extension for reply within fifth month	
119 310	219 155	Notice of Appeal	
120 310	220 155	Filing a brief in support of an appeal	
121 270	221 135	Request for oral hearing	
138 1,510	238 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,320	241 660	Petition to revive - unintentional	
142 1,320	242 660	Utility issue fee (or reissue)	
143 450	243 225	Design issue fee	
144 570	244 335	Plant issue fee	
122 130	222 130	Petitions to the Commissioner	
123 50	223 50	Petitions related to provisional applications	
125 240	225 240	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	40
146 790	246 395	Filing a submission after final rejection (37 CFR 1.123(a))	
149 790	249 395	For each additional invention to be examined (37 CFR 1.123(b))	

Other fee (specify) _____

Other fee (specify) _____

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$)

40

SUBMITTED BYTyped or
Printed Name

Winston Hsu

Signature

Winston Hsu

Date

8/15/2000

Complete (if applicable)

Reg. Number

41,526

Deposit Account
User ID

1665

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